



10-16-03

2813

Please type a plus sign (+) inside this box →

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | |
|----------------------|-------------------|
| Application Number | 09/653,156 |
| Filing Date | August 31, 2000 |
| First Named Inventor | Vishnu K. Agarwal |
| Group Art Unit | 2813 |
| Examiner Name | Y. Huynh |

Attorney Docket Number MI22-1518

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Return Postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | PTO-1449 |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | Cited References |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| | Remarks | Customer No. 021567 |
| | | The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 and § 1.17 and credit any overpayments to account no. 23-0925. |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|---|---|
| Firm or Individual name | James E. Lake Reg. No. 44,854; Wells St. John P.S. | RECEIVED OCT 20 2003 TOM 2800 MAIL ROOM |
| Signature | | |
| Date | 14 Oct 2003 | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

| | | | |
|-----------------------|--|------|--|
| Typed or printed name | | | |
| Signature | | Date | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EL979977130



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/653,156
Filing Date August 31, 2000
Inventor Vishnu K. Agarwal
Assignee Micron Technology, Inc.
Group Art Unit 2813
Examiner Y. Huynh
Attorney's Docket No. MI22-1518
Title: Enhanced Surface Area Capacitor Fabrication Methods

Commissioner for Patents
PO Box 1450
Attn: Art Unit 2831
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art.

This Supplemental Information Disclosure Statement is being filed after the filing of the Request for Continued Examination (RCE) Application and before receipt of the first Office Action. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Supplemental Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925. Please credit Deposit Account No. 23-0925 with any overpayment of the above fee.

Citation of these references is respectfully requested.

Respectfully submitted,

Date: 14 Oct 2003

By: J. E. Lake
James E. Lake
Reg. No. 44,854

EL979977130



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.
MI22-1518

SERIAL NO.
09/653,156

APPLICANT Vishnu K. Agarwal

FILING DATE
August 31, 2000

GROUP
2813

U.S. PATENT DOCUMENTS

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----|-----------------|---------|-----------|-------|----------|----------------------------|
| | AA | 6,204,070 | 03/2001 | Kim | | | |
| | AB | 6,207,487 | 03/2001 | Kim et al | | | |
| | AC | 6,391,803 | 05/2002 | Kim et al | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

162800
OCT 20 2003
RECEIVED
MAIL ROOM

FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Subclass | Translation | |
|--|----|-----------------|---------|-----------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AM | KR 2002002157A | 01/2002 | Korea (ABSTRACT ONLY) | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

| | | | | | | | | |
|--|----|--|--|--|--|--|--|--|
| | AP | | | | | | | |
| | | | | | | | | |
| | AQ | | | | | | | |
| | | | | | | | | |
| | AR | | | | | | | |
| | | | | | | | | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EL979977130



| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | | ATTY. DOCKET NO. MI22-1518 | SERIAL NO. 09/653,156 | |
|---|----|-----------------|------|---|--------------------------------|--------------------------|----------------------------|
| LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) | | | | | APPLICANT Vishnu K. Agarwal | | |
| | | | | | FILING DATE August 31, 2000 | GROUP 2813 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
| | AA | 09/590,795 | | Agarwal et al (as filed and amended 10/2001 & 1/2003) | | | 06/2000 |
| | AB | 09/653,149 | | Derderian (as amended 10/22/02, 5/28/02, 6/11/01) | | | 08/2000 |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | Document Number | Date | Country | Class | Subclass | Translation |
| | | | | | | | Yes |
| | AM | | | | | | No |
| | AN | | | | | | |
| | AO | | | | | | |
| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | AP | | | | | | |
| | AQ | | | | | | |
| | AR | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

EL979977130